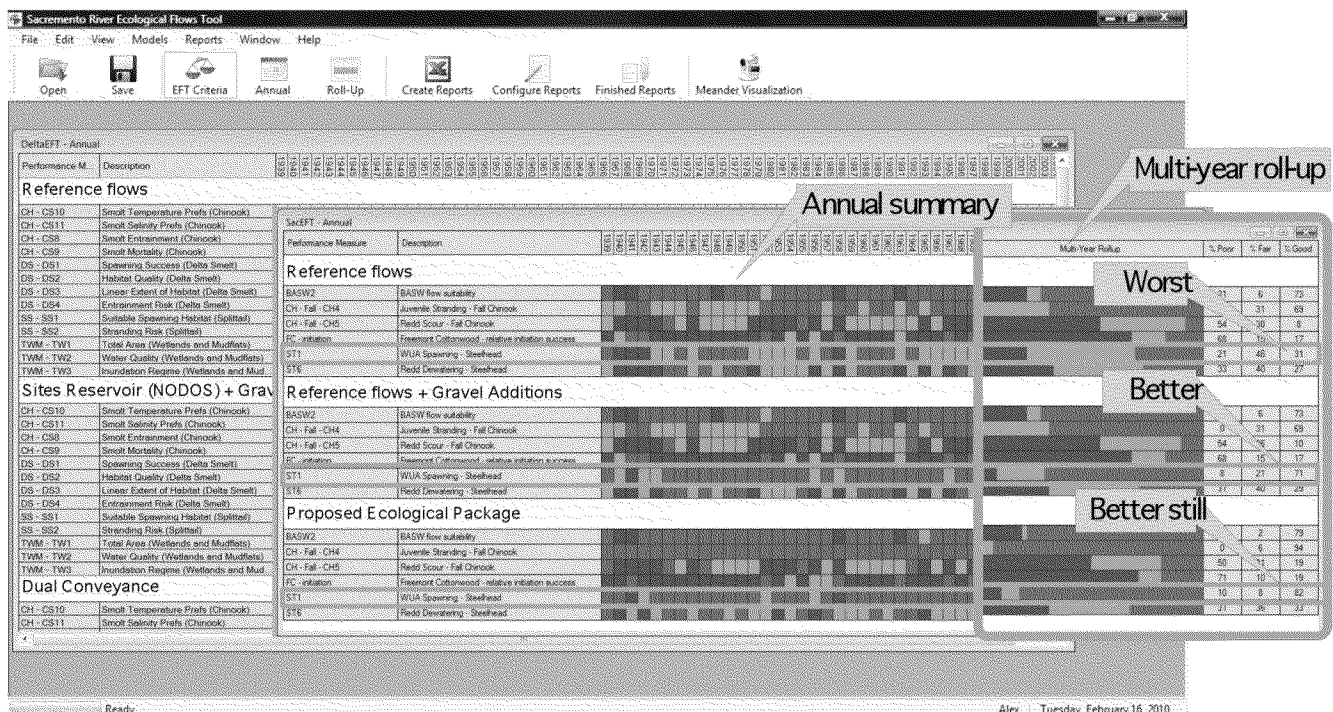




The Ecological Flows Tool (EFT) is a decision support system that demonstrates how changes in flow management (and other actions) result in changes to the physical habitats for multiple species within the Sacramento River and the Delta. EFT works by integrating a range of representative functional ecological response indicators with key physical variables obtained from widely used hydrologic models. EFT transparently relates multiple attributes of the flow regime to multiple species' life-history needs, contributing to an effective understanding of flow and non-flow restoration actions on focal species and their habitats. The hallmark of the EFT approach is integration and clear communication of multiple ecological tradeoffs associated with different water operation alternatives.

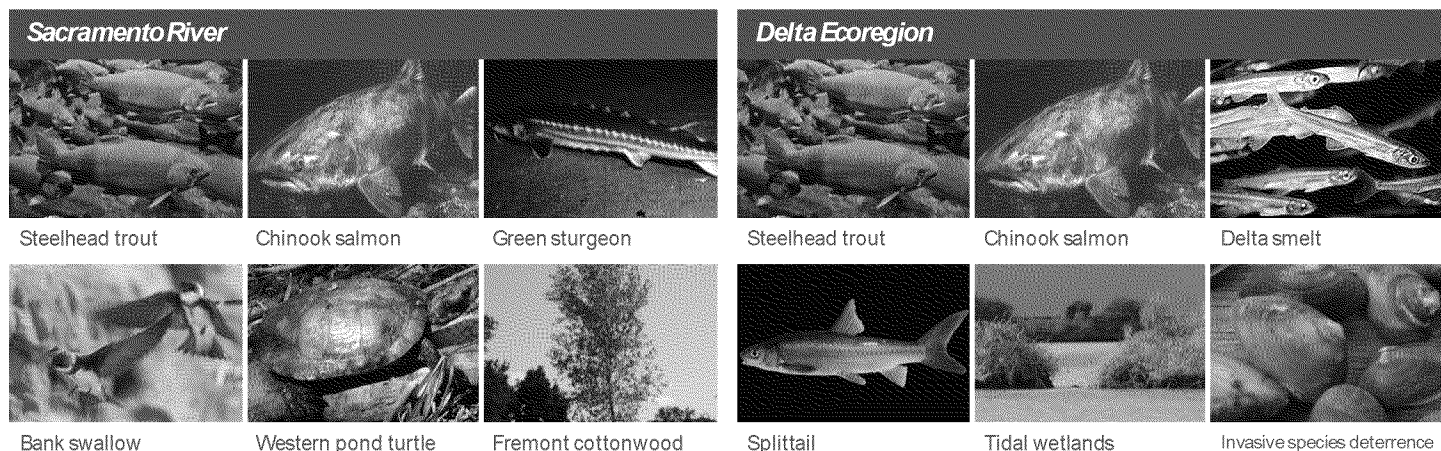


**Figure 1»** Example of annual and multi-year roll-up traffic light indicator ratings

## multiple focal Species & indicators

In all, EFT includes conceptual models for eleven (11) species and twenty four (24) causally reasoned performance indicators (Figure 2). EFT performance indicators are based on a mixture of process-based ecological functions and empirical relationships between flow, habitats, and focal species response. EFT's representative ecological indicators capture the essence of existing conceptual models and are driven by widely used physical models for flowstage, salinity, and water temperature. Intuitive output interfaces allow cross-walking of ecological consequences over policy alternatives.

### Focal Species & Habitats



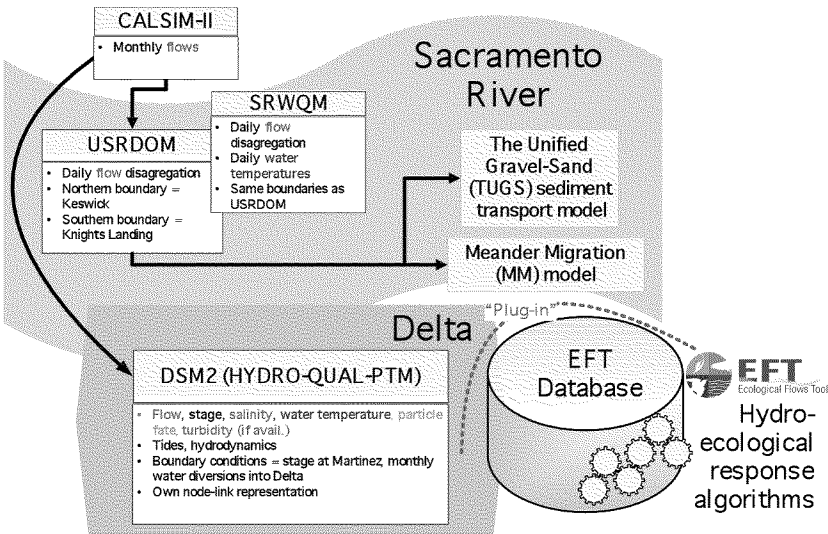
Sacramento River			Delta Ecoregion		
Focal Species & Habitats		Performance Measures	Focal Species & Habitats		Performance Measures
Fremont cottonwood	FC1	Successful cottonwood initiation	Chinook & Steelhead (CS)	CS7	Smolt weight gain in alt. migration corridors
	FC2	Cottonwood seedling scour		CS9	Smolt mortality index as a function of passage time (negatively correlated with CS7)
Bank swallow (BASW)	BASW1	Habitat potential/suitability		CS10	Smolt temperature preference index (departures from optimum v. weight gain)
	BASW2	Risk of nest inundation and bank sloughing during nesting	Delta smelt (DS)	DS1	Spawning success index
Western pond turtle	LWD1	Index of old vegetation recruited to the Sacramento River mainstem		DS2	Index of habitat suitability
				DS4	Entrainment risk (index)
Green sturgeon (GS)	GS1	Egg-to-larvae survival	Splittail (SS)	SS1	Proportion of maximum potential spawning habitat (index)
Chinook salmon, Steelhead trout (CS)	CS1	Area of suitable spawning habitat (ft <sup>2</sup> )	Fresh/brackish tidal wetlands (TW)	TW1	Brackish wetland area
	CS3	Egg-to-fry survival (proportion)		TW2	Freshwater wetland area
	CS5	Redd scour (hazard zones)	Invasive species deterrence (ID)	ID1	Brazilian waterweed suppression
	CS6	Redd dewatering (proportion)		ID2	( <i>Corbicula</i> ) Invasive clam larvae and recruit suppression
	CS2	Area of suitable rearing habitat (ft <sup>2</sup> )		ID3	( <i>Corbicula</i> ) Invasive clam larvae and recruit suppression
	CS4	Juvenile stranding (index)			

Figure 2 » Species and their performance measures in EFT.

EFT is structured as an “ecological plug-in” to existing models that are commonly used for water planning in the Central Valley (Figure3). Rather than reinventing models, EFT utilizes output datasets from daily disaggregations of CALSIM, DSM2, and other models that are used to investigate water delivery and other standards set for the CVP and SWP water system. EFT utilizes these data and adds ecological calculations to evaluate effects on multiple ecosystem targets.

Extensive scientific understanding of the Sacramento River and Delta ecosystem’s likely response to changes in flow management has been developed over the past twenty years. Prior to EFT, much of this important information existed in a multitude of separate reports, independent conceptual models, and unconnected modeling tools. EFT has synthesized much of this disparate information, linking ecological submodels to existing physical planning models, providing a major advance in the region’s capabilities for assessing ecological tradeoffs. The EFT framework also makes it easy to “swap in” (or remove) indicators as the state of scientific knowledge evolves.

The functional relationships and indicators that are encapsulated into the decision support tool represent the collective thoughts of more than seventy scientists from state and federal agencies, consulting firms, and research institutions who have participated



**Figure 3 »** EFT hydrologic foundation. Note: Physical models used in DeltaEFT are not necessarily limited to those shown here. Where it is feasible and practical to obtain outputs at a daily resolution for multi-decadal simulations, other models can be “swapped in” if they are deemed a better representation of the physical variables of interest.

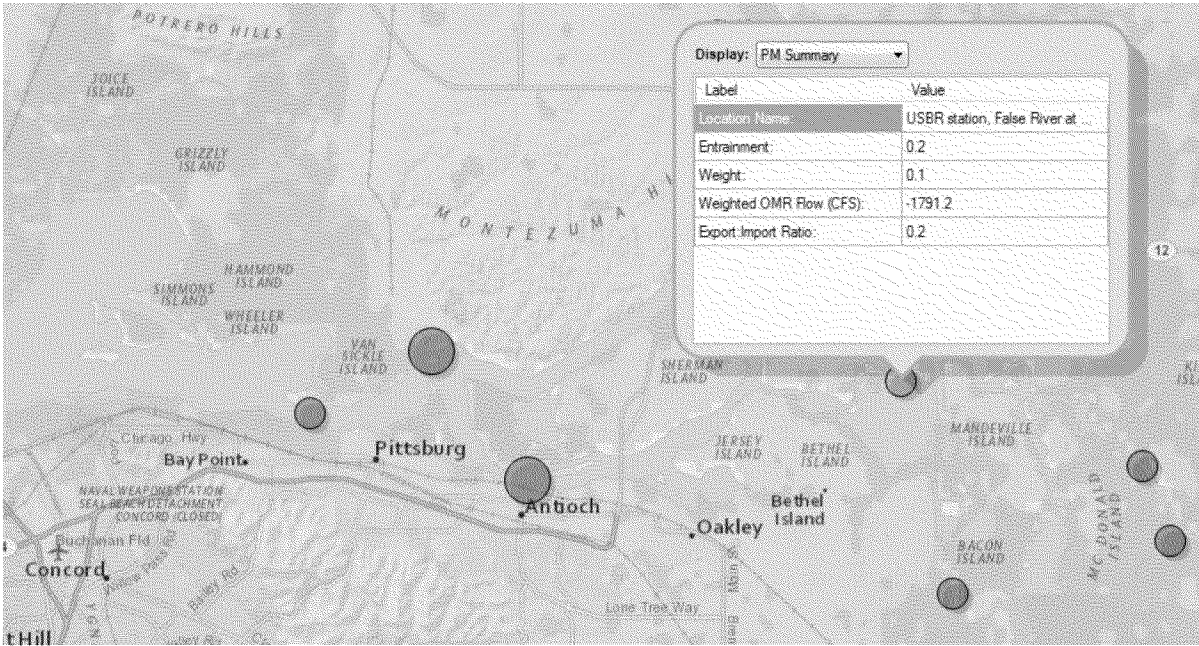
in our workshops or who wrote primary papers on which the relationships are based.

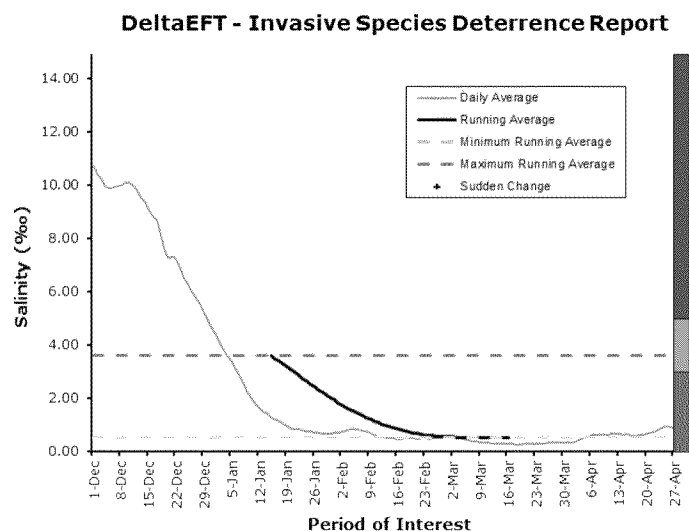
In addition to integrating disparate sources of information, a challenge overcome by EFT’s design is translating information into easily understandable results for managers. Practical synthesis and integration is challenging when considering multiple ecological targets, complex physical models, and multiple audiences (e.g., high-level managers as well as technical-level staff). EFT

creates output that can span the range from high overview to daily and location-specific detail. The output interface makes extensive use of a “traffic light” paradigm that juxtaposes performance measure results and scenarios to provide an intuitive overview of whether a given year’s performance measure are healthy (green), of some concern (yellow), or of serious concern/poor (red).

EFT’s output interface and reports for trade-off analyses make it clear how actions

**Figure 4 »** Example of map-based output information for DS4: Index of risk of entrainment.





**Figure 5»** Example of Excel output graph information for ID2: Brackish water invasive overbite clam suppression.

eco region											
Species											
Indicator	FC1 Cottonwood relative initiation success										
Objective	Periodically provide recession flows that support areas for riparian initiation										
Timing	O	N	D	J	F	M	A	M	J	J	A
Location	Hamilton City (RM199)										
Variable & Condition											
Recurrence	At least once every 8 years										

**Figure 6»** Example of functional flow recommendation for one EFT indicator.

implemented for the benefit of one area or focal species may affect (both positively and negatively) another area or focal species. For example, we can show how altering Sacramento River flows to meet export pumping schedules in the Delta affects focal species' performance measures both in the Sacramento River and the Delta.

One of the biggest challenges in the practical development of ecological flow regime guidelines is the wide range of objectives, focal species, and habitat types that need to be considered. EFT has brought into focus how these various objectives cannot all be simultaneously met. In nature, conditions often benefit one target or species to the potential detriment of another in any given year. Fortunately, flow characteristics that benefit the various ecological targets investigated are usually required on a periodic basis and not every single year. EFT studies simplify communication of these trade-offs and catalyze definition of state-dependent management practices that promote the development of needed flexibility in the water management system.

EFT focal species submodels are integrated and centered on a single SQL server relational database. The software's graphical user interface, model controller & analysis engine, and Excel & map visualization output reporting connect to and interact with this central database over the web. Users may perform Sacramento River (SacEFT)

or Delta (DeltaEFT) effects analyses separately or in conjunction with one another. Users can choose which management scenarios to evaluate, what range of years to display, and which ecological indicators they wish to evaluate.

## What Does EFT Contribute to Water Resource Management?

EFT contributes to a more comprehensive understanding of how proposed changes to water operations infrastructure and management (and future climate conditions) affect target species and habitats. EFT does not solve social value decisions about whether a particular action or alternative is "good" or "bad." Rather EFT is designed to provide information about the positive, neutral, and/or negative effects of a particular alternative, across a suite of representative focal species and their habitats. As noted above, EFT's intuitive outputs make it clear how actions implemented for the benefit of one area or focal species may influence (both positively and negatively) another area or focal species.

EFT is also useful for developing functional flow guidelines. Because of the multi-species approach, EFT helps communicate how to prioritize and trade off amongst ecological objectives and adjust these priorities based on emerging conditions (e.g., water year types) and the ability to realize different objectives over time.

## Software

EFT Reader software is publicly available and free to download at <http://essa.com/tools/eft/download>. The EFT Reader links with a centralized copy of the EFT database located on a remote server. The public EFT Reader database currently contains a suite of fully configured scenarios, derived from the Sacramento River Ecological Flows Study and from test scenarios supplied by DWR and project partners. Future versions of the EFT Reader database will include results for simulations based on other effects analysis investigations, as they move into the public domain.

EFT was developed between 2004 and 2012 with funding from the Department of Fish and Wildlife's Ecosystem Restoration Program, The David and Lucile Packard Foundation, The Nature Conservancy, and ESSA Technologies.

## Additional Information

- ☐ <http://essa.com/tools/eft>
- ☐ [http://www.dfg.ca.gov/ERP/signature\\_sacriverecoflows.asp](http://www.dfg.ca.gov/ERP/signature_sacriverecoflows.asp)